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S/N 10/848,756

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Nishiuchi et al	Examiner:	G. Patel
Serial No.:	10/848,756	Group Art Unit:	2655
Filed:	May 19, 2004	Docket No.:	10873.0647USC1
Title:	OPTICAL INFORMATION RECORDING MEDIUM, METHOD OF MANUFACTURING THEREOF, AND METHOD OF RECORDING AND REPRODUCTION		

CERTIFICATE UNDER 37 CFR 1.6(d): I hereby certify that this paper is being transmitted by facsimile to the
U.S. Patent and Trademark Office on January 27, 2006.

By: 
Name: Peggy Kerkhove

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references were originally cited by the Examiner in co-pending matter serial no. 10/915,590. A copy of the Form 892, issued by the Examiner, is enclosed.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in

a communication from the Examiner in a counterpart U.S. application within three months of filing this statement.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from the Examiner in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign document or other information listed on the enclosed Form 1449 is provided. As this application was filed after June 30, 2003, copies of the cited U.S. Patent Documents are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,

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(612) 455-3800

Dated: January 27, 2006

By: 

Douglas P. Mueller
Reg. No. 30,300

DPM/pjk

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PATENT TRADEMARK OFFICE

Date Mailed: January 27, 2006

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.647USC1	Application Number: 10/848,756
	Applicant: NISHIUCHI et al.	
	Filing Date: May 19, 2004	Group Art Unit: 2655

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,049,521	April, 2000	Aratani			
	6,370,091	April, 2002	Kuroda			

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Notice of References Cited	Application/Control No. 10/915,590	Applicant(s)/Patent Under Reexamination NISHIUCHI ET AL.	
	Examiner Gautam R. Patel	Art Unit 2656	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,049,521	04-2000	Aratani, Katsuhisa	389/280
*	B	US-6,370,091	04-2002	Kuroda, Kazuo	389/30.08
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
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	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.06(a).)
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.